# 10417/10617

Vision Transformer: Attention is all you need



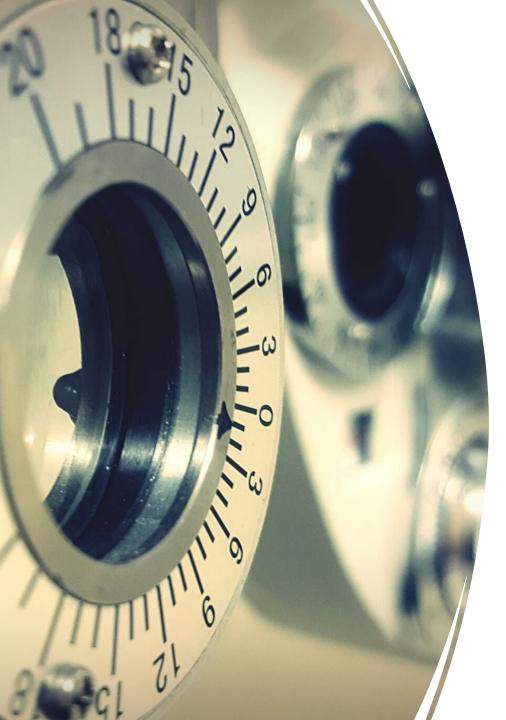
## Convolution Neural Network

- So far, we have been focusing on using convolution neural networks for vision.
- Advantage: Convolution is a local operation, it is fast and parameter-efficient.
- Disadvantage: Convolution is a local operation, it can not capture global relations efficiently.
  - It is hard to use convolution on images with large global dependencies, or videos with longtime dependencies.

## From Convolution to Vision Transformer

- Vision Transformer:
  - Still mainly uses local operation.
  - Add a "global attention" layer to gather information globally.





# Vision Transformer

- Basic structure of ViT:
- Step 1, divide the input images  $(d \times d \times C)$  into  $(d^2/p^2)$  (disjoint )patches, each of size  $(p \times p \times C)$ .
- Step 2:
  - Step 2.1. For each patch, flatten it, apply an MLP on it, and get an output of size  $d_{emb}$
  - Step 2.2. For each vector on each patch, "mix" them together, to create new vectors on each patch.
- Repeat Step 2.

# Vision Transformer

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- The mixing operation is called "selfattention".
- Given vectors  $v_1, v_2, ..., v_n$  on n patches, each of dimension D, a self-attention operator returns vectors  $v'_1, v'_2, ..., v'_n$ , each of dimension D.
- $v'_i = \sum_j \alpha_{i,j} v_j$  as a weighted average of the input vectors v's.
- $\alpha_{i,j}$  are also functions of  $v_1, v_2, \dots, v_n$ .

#### Attention Layer

- $v'_i = \sum_j \alpha_{i,j} v_j$  as a weighted average of the input vectors v's.
  - Thus, for each i, the network mixes information from other j's to get the new output.
- $\alpha_{i,j}$  are also functions of  $v_1, v_2, ..., v_n$ .
  - What functions?

## Self Attention Layer

- Given vectors  $v_1, v_2, ..., v_n$ , each in  $\mathbb{R}^d$ , a self attention layer is defined as:
- $v'_i = V^T \sum_j \alpha_{i,j} v_j$
- Where  $(\alpha_{i,j})_{j \in [n]} = softmax (v_i^T Q K^T v_j + p_{i,j})_{j \in [n]}$
- Here, Q is called the query matrix, K is called the key matrix, V is called the value matrix. They are all of dimension  $d \times m$ .
  - So, each  $v_i$  looks for the "most similar  $v_j$ , when projected to Q and K".
- $p_{i,j}$  is a bias term, also known as "relative positional encoding".
- They are all trainable.



## Self Attention versus Convolution

- Convolution can be viewed as:
  - $v'_i = V^T \sum_j \alpha_{i,j} v_j$
  - Where  $\alpha_{i,j}$  are parameters only depending on the index i , j.
  - $\alpha_{i,j}$  are non-zero only when i is "close" to j.
- Self-attention:
  - $(\alpha_{i,j})_{j \in [n]} = softmax(v_i^T Q K^T v_j + p_{i,j})_{j \in [n]}$  is a function of  $v_j$ 's.
  - Can be large even if index i is "far" from j.
- But can self-attention simulate a convolution?

Self-Attention can learn convolution

- If the ground-truth function is a convolution, then doing gradient descent on a selfattention layer can recover the convolution structure.
  - See the work [Samy Jelassi and Yuanzhi Li, 2022]: How vision transformer learns the patch associations.
- Intuitively, the network just learns  $(\alpha_{i,j})_{j \in [n]} = softmax(p_{i,j})_{j \in [n]}$
- Where  $p_{i,j}$  is more positive if index i is "closer" to index j.

Self-Attention can associate patches

- A function that can be easily represented by self-attention, but not convolution is the "association" function (suppose each v<sub>i</sub> has norm one):
- $f_1(v_1, v_2, ..., v_n) = \sum_i v_i 1_{v_i = Uv_1}$
- Can be represented using  $QK^T = \alpha U^{-1}$ for a large  $\alpha$ .
- $(\alpha_{1,j})_{j\in[n]} = softmax(\alpha v_1^T U^{-1} v_j)_{j\in[n]}$

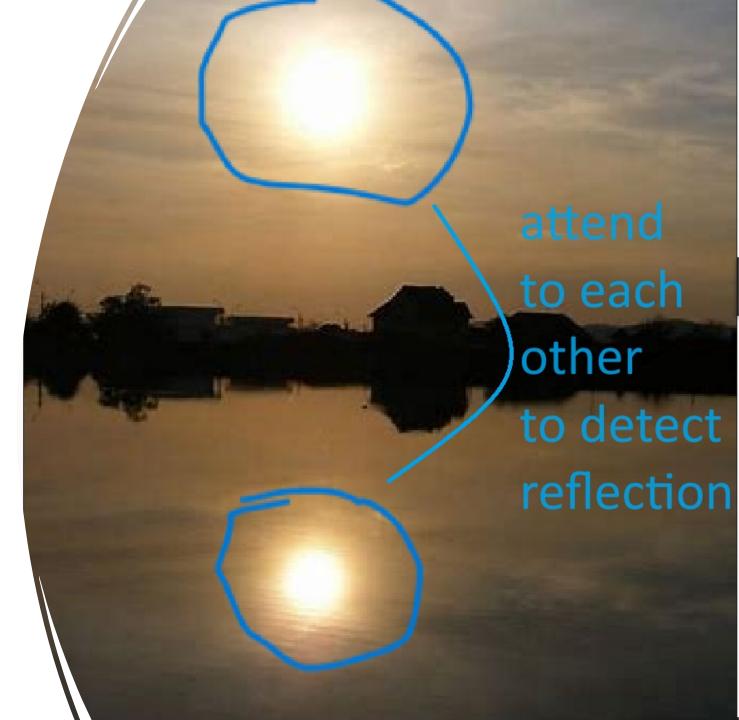
Self-Attention can associate patches  An function that can be easily represented by self-attention, but not convolution is the "double if" function (suppose each v<sub>i</sub> has norm one):

• 
$$f_1(v_1, v_2, ..., v_n) = \sum_i 1_{v_1 = a, v_j = b}$$

• Can be represented using  $QK^T = \alpha a b^T$  for a large  $\alpha$ .

• 
$$(\alpha_{1,j})_{j\in[n]} = softmax(\alpha(v_1^T a)(b^T v_j))_{j\in[n]}$$

Self-attention is more powerful than convolution



Self-attention is more powerful than convolution

attend to each other to identify its a missile

#### Multi-Head Attention Layer

- ▶ The most fundamental layer in the transformer: Multi-head attention.
- Given vectors  $\mathcal{V}_1, \mathcal{V}_2, \dots, \mathcal{V}_n$ , each in  $\mathbb{R}^d$ , a multi-head attention layer is defined as:
- $v'_i = C \times concatenate \left( V_r \sum_j \alpha^r_{i,j} v_j \right)_{r \in [d/m]} + b$
- Where  $\left(\alpha_{i,j}^{r}\right)_{j\in[n]} = softmax\left(v_{i}^{T}Q_{r}K_{r}^{T}v_{j} + p_{i,j}^{r}\right)_{j\in[n]}$
- Here, C is a  $d \times d$  trainable matrix.
- Each  $v_i$  looks for the "most similar  $v_j$ , according to [d/m] many projection matrices  $Q_r$  and  $K_r$ .

#### Transformer Architecture

- A (post-layernorm) transformer block is defined as:
- Given input  $V = \mathcal{V}_1, \mathcal{V}_2, \dots, \mathcal{V}_n$ , each  $v_i$  in  $\mathbb{R}^d$ .
  - (1). Apply Multi-Head Attention (input dimension d, output dimension d) on V to get  $V^{(1)} = v_1^{(1)}, v_2^{(1)}, ..., v_n^{(1)}$ .
  - (2). Apply layer-norm on each of the  $v_i^{(1)}$  to get  $v_i^{(2)}$ .
  - (3). Apply residual link:  $v_i^{(3)} = v_i^{(2)} + v_i$ .
  - (4). Apply a one hidden layer MLP h (input dimension d, output dimension d) on each  $v_i^{(3)}$  to get  $v_i^{(4)} = h(v_i^{(3)})$  (all the  $v_i'''$  in the uses the same h per layer, different h for different layers).
  - (5). Apply layer-norm on each of the  $v_i^{(4)}$  to get  $v_i^{(5)}$ .
  - (6). Apply residual link:  $v_i^{(6)} = v_i^{(5)} + v_i^{(3)}$ .

• The output 
$$V^{(6)} = v_1^{(6)}, v_2^{(6)}, \dots, v_n^{(6)}$$
, each  $v_i^{(6)}$  in  $\mathbb{R}^d$ 

#### **Transformer Architecture**

- A (pre-layernorm) transformer block is defined as:
- Given input  $V = \mathcal{V}_1, \mathcal{V}_2, \dots, \mathcal{V}_n$ , each  $v_i$  in  $\mathbb{R}^d$ .
  - ▶ (1). Apply layer-norm on each of the  $v_i$  to get  $v_i^{(1)}$ .
  - ▶ (2). Apply Multi-Head Attention on  $V^{(1)}$  to get  $V^{(2)} = v_1^{(2)}, v_2^{(2)}, ..., v_n^{(2)}$ .
  - ► (3). Apply residual link:  $v_i^{(3)} = v_i^{(2)} + v_i$ .
  - ▶ (4). Apply layer-norm on each of the  $v_i^{(3)}$  to get  $v_i^{(4)}$ .
  - ▶ (5). Apply a one hidden layer MLP h on each  $v_i^{(4)}$  to get  $v_i^{(5)} = h(v_i^{(4)})$  (all the  $v_i'''$  in the uses the same h per layer, different h for different layers).
  - (6). Apply residual link:  $v_i^{(6)} = v_i^{(5)} + v_i^{(3)}$ .

### Transformer Architecture

- A Vision Transformer consists of:
- 1. An embedding layer (linear layer), maps each input patch to a vector + layer-normalization.
- 2. Many transformer blocks.
- 3. A Flatten + Linear/MLP layer on top for classification.

# VIT Large (the original vision transformer)

- Convert input image to 16x16 total patches (total 256 vectors).
- For each patch, apply an embedding layer to map it to dimension 1024.
- Apply 24 transformer blocks, each transformer block has a one-hiddenlayer MLP of size 1024 -> 4096 -> 1024.
  - Each transformer block as a Multi-Head Attention layer with 16 heads.
- Total 307M parameters (very small for a transformer).

